

## PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449	ATTY. DOCKET NO. 200401494-1	APPLICATION NO.	CONFIRMATION NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT Iddys D. Figueroa et al.		
(Use several sheets if necessary)	FILING DATE Mar. 15, 2004	GROUP	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
jlm	1A	4,088,750	05/09/1978	Cresswell et al.	
	1B	4,826,689	05/02/1989	Violanto et al.	
	1C	4,952,402	08/28/1990	Sparks et al.	
	1D	5,145,684	09/08/1992	Liversidge et al.	
	1E	5,209,933	05/11/1993	MacFarlane et al.	
	1F	5,470,584	11/28/1995	Hendrickson et al.	
	1G	5,512,302	04/30/1996	Atkin et al.	
	1H	5,552,160	09/03/1996	Liversidge et al.	
	1I	5,869,170	02/09/1999	Cima et al.	
	1J	6,346,533	02/12/2002	Cha et al.	
	1K	6,592,902	07/15/2003	Thosar et al.	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L				
	1M				
	1N				
	1O				
	1P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

jlm	1Q	"Guidance for Industry Q3C Impurities: Residual Solvents", U.S. Department of Health and Human Services, Food and Drug Administration, December 1997
	1R	"Guidance for Industry Q3C - Tables and Lists", U.S. Department of Health and Human Services, Food and Drug Administration, November 2003
	1S	

EXAMINER

DATE CONSIDERED

## PATENT APPLICATION

Sheet 2 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	200401494-1	10601,381	
	APPLICANT		
	Iddys D. Figueroa et al.		
	FILING DATE	GROUP	
	Mar. 15, 2004		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
<i>JS</i>	2A	6,623,785	09/23/2003	Childers	
<i>JS</i>	2B	2003/0065294	04/03/2003	Pickup et al.	
	2C				
	2D				
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	2L					
	2M					
	2N					
	2O					
	2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

*Jeff K. Miller*

DATE CONSIDERED

9/19/05

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**Sheet 1 of 2

Application Number	10/801381
Filing Date	Mar 15, 2004
First Named Inventor	Iddys Figueroa et al
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	200401494-1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JLM		US- 5,797,898	08-25-1998	Santini Jr. et al	
		US- 6,702,894	03-09-2004	Lee et al	
		US- 6,623,785	09-23-2003	Childers	
		US- 4,322,449	03-30-1982	Voss et al	
		US- 2004/0173147	09-09-2004	Figueroa et al	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>5</sup>
JLM		EP 1 306 071 A2	05-02-2003	Lee et al		
		WO 03/041690	05-22-2003	Rowe et al		

Examiner Signature	<i>Jul K. Misher</i>	Date Considered	9/19/05
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First Named Inventor	Iddys Figueroa et al
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	200401494-1

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of

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
glen		W.E. Katstra et al, "Pulsatory Oral Drug Delivery Devices Fabricated by Three Dimensional Printing", Proceed. Int'l Symp. Control. Rel. Bioact. Mater., 26, July 1999	
glen		W. E. Katstra et al, "Oral Dosage Forms Fabricated by Three Dimensional Printing", Jnl of Controlled Release, 66, 2000, pp 1-9	

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Signature

Jennifer H. Muehler

Date  
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9/19/05

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